

**SECTION 450  
HOT MIX ASPHALT PAVEMENT**

**Section 450 - Hot Mix Asphalt Pavement entirely replaces the following Sections and Subsections of the Standard Specifications for Highways and Bridges:**

- **Section 420 - Class I Bituminous Concrete Base Course Type I-1**
- **Section 460 - Class I Bituminous Concrete Pavement Type I-1**
- **Subsection M3.01.0 - Asphalt Cement**
- **Subsection M3.11.06 - Bituminous Materials**
- **Subsection M3.11.08 - Inspection**
- **Subsection M3.11.09 - Composition and Compaction Acceptance Tests**

The Contractor shall adhere to all of the requirements herein of Section 450, Hot Mix Asphalt Pavement. All QC Inspection Report Forms and Test Report Forms must be submitted to the Department by the Contractor and all material produced and placed must conform to the Quality Limits specified in Subsection 450.77. Contractor QC data and Department Acceptance data for each Lot falling under HMA Lot Category A (Large Lot) or Category B (Small Lot) will be evaluated using Quality Level Analysis and must meet the minimum Percent Within Limits specified in Subsection 450.77. **However, the Pay Adjustment provisions included in Subsection 450.92 will not be applied to items under this contract. Pay factors will be computed by the Department for information purposes only.**

**SECTION 450  
 HOT MIX ASPHALT PAVEMENT**

**DESCRIPTION**

**450.20 General.**

This work shall consist of producing and placing Hot Mix Asphalt (HMA) pavement. HMA mixtures shall be composed of the following: Mineral aggregate, mineral filler (if required), Performance Graded Asphalt Binder (PGAB), and as permitted, reclaimed materials (limited to Reclaimed Asphalt Pavement (RAP), Reclaimed Asphalt Shingles (RAS), and Processed Glass Aggregate (PGA)). The HMA pavement shall be constructed in courses as shown on the plans and as directed on the prepared or existing base in accordance with these specifications and in close conformity with the lines, grades, compacted thickness and typical cross section as shown on the plans. Unless specified otherwise, each HMA pavement course placed shall be comprised of one of the mixture types listed in Table 450.1.

**Table 450.1 - HMA Pavement Courses & Mixture Types**

<b>Pavement Course</b>	<b>Mixture Type</b>	<b>Mix Designation</b>
Friction Course	<ul style="list-style-type: none"> <li>Open-Graded Friction Course</li> </ul>	OGFC
Surface Course	<ul style="list-style-type: none"> <li>Dense Binder</li> <li>Modified Top</li> <li>Standard Top</li> </ul>	SC - DB SC - MT SC - ST
Intermediate Course	<ul style="list-style-type: none"> <li>Binder</li> <li>Dense Binder</li> </ul>	IC - B IC - DB
Base Course	<ul style="list-style-type: none"> <li>Binder</li> <li>Black Base</li> </ul>	BC - B BC - BB
Leveling Course	<ul style="list-style-type: none"> <li>Leveling Mix</li> </ul>	LC - LM
Bridge Surface Course	<ul style="list-style-type: none"> <li>Modified Top</li> </ul>	BSC - MT
Bridge Protective Course	<ul style="list-style-type: none"> <li>Dense Binder - Antistrip</li> </ul>	BPC - DBA

**450.30 Quality Assurance.**

**A. Quality Assurance Responsibilities.**

This is a Quality Assurance Specification wherein the Contractor is responsible for controlling the quality of materials and workmanship and the Department is responsible for accepting the completed work based on the measured quality. Quality Assurance is simply defined as “making sure the Quality of a product is what it should be”.

The core elements of Quality Assurance include: Contractor Quality Control (QC), Department Acceptance, Department Independent Assurance (IA), Dispute Resolution, Qualified Laboratories, and Qualified Personnel. Although Quality Assurance utilizes test results to control production and determine acceptance of the HMA, inspection remains as an important element in controlling the process and accepting the product.

The Contractor is responsible for providing an appropriate Quality Control system to ensure that all materials and workmanship meet the required quality levels for each specified

Quality Characteristic. The Contractor will perform all required Quality Control inspection, sampling, and testing in accordance with these specifications and the Contractor's Quality Control Plan.

The Department will monitor the adequacy of the Contractor's QC activities and will perform Acceptance inspection, sampling, and testing. The Department's Acceptance information will be utilized in the acceptance determination for each Lot of material produced and placed.

Independent Assurance is the responsibility of the Department's Central Materials Laboratory. The function of IA testing is to periodically provide an unbiased and independent evaluation of the sampling and testing procedures used in the acceptance decision. Contractor QC and Department Acceptance testing equipment will be evaluated by IA personnel using one or more of the following: calibration checks, split sample comparison, or proficiency samples (homogeneous samples distributed and tested by two or more laboratories). QC and Acceptance testing personnel are evaluated by observation and split samples or proficiency samples.

**B. Hot Mix Asphalt Lots & Sublots.**

The quality of each HMA pavement course of the same mixture type produced and placed will be inspected, tested, and evaluated on the basis of Lots and Sublots. A Lot is defined as "an isolated quantity of material from a single source which is assumed to be produced or placed by the same controlled process".

In general, a Lot of HMA shall consist of the total quantity of material having the same Job Mix Formula (JMF), produced and placed for the same pavement course at a uniform plan thickness, under the same conditions within a single construction season. The Lot size and corresponding unit of measure is a function of the individual Quality Characteristic evaluated. Lot sizes for Quality Characteristics subject to Department Acceptance are as shown in Table 450.2.

Changes in the target values, material sources, or JMF for an HMA mixture type will constitute a change in Lot, requiring the establishment of a new Lot. All Lots will be properly identified for accurate evaluation and reporting of HMA quality.

**Table 450.2 - HMA Lot Sizes**

Quality Characteristic	Lot Size & Unit of Measure
PG Asphalt Binder Grading	Total Tons of HMA from all JMFs using the same PGAB Grade (from same PGAB Supplier), produced by a single plant and placed within same construction season.
PG Asphalt Binder Content	Total Tons of HMA with same JMF for same individual pavement course, produced by a single plant and placed within same construction season.
Volumetrics - Air Voids	
In-place Density	
Thickness	
Ride Quality (IRI)	Total length (miles) of individual wheel paths (in all travel lanes and ramps) of in-place HMA with same JMF for same individual pavement course, produced by a single plant and placed within same construction season, and which is located within the same posted speed limit range as defined in Table 450.19
Wheel Path Deviations	

Each HMA Lot will be divided into Sublots of uniform size. The size of each HMA Sublot shall be as listed in Table 450.10 and Table 450.17. If the HMA quantity at the end of a Lot is equal to or greater than one half of a full Sublot, then such quantity shall be identified and evaluated as a separate Sublot. If the HMA quantity at the end of a Lot is less than one half of a full Sublot, then such quantity shall be combined with the previous full Sublot quantity and shall be identified and evaluated as the final Sublot.

**C. HMA Quality Assurance Requirements.**

These Specifications establish three categories under which Hot Mix Asphalt Lots will be produced, placed, evaluated and accepted. Table 450.3 below defines each of the Lot categories and outlines the required Quality Assurance activities of the Contractor and the Department. The division of the categories is based on the estimated quantities for individual Hot Mix Asphalt Lots. For contracts containing multiple Hot Mix Asphalt items, it is possible to have work performed under more than one HMA Lot category.

**Table 450.3 - HMA Lot Categories & Quality Assurance Requirements**

Quality Assurance Requirements	Category A (Large Lot)	Category B (Small Lot)	Category C (Minor Lot)
Total Quantity for individual Lot of HMA:	≥ 6000 tons (5500 Mg)	≥ 1500 tons (1375 Mg), but < 6000 tons (5500 Mg)	< 1500 tons (1375 Mg)
QC Plan Required:	YES	YES	See Note 1
Contractor QC Inspection Required:	YES (Subsection 450.64)	YES (Subsection 450.64)	YES (Subsection 450.64)
Contractor QC Testing Required:	YES (Subsection 450.65)	YES (Subsection 450.65)	YES (Subsection 450.65)
Control Strip Required:	YES	NO	NO
Control Charts Required:	YES	NO	NO
Quality Level Analysis Required:	YES	YES	NO
MHD Acceptance Inspection & Testing Performed:	Minimum 25% of Sublots (Subsection 450.74)	Minimum 50% of Sublots), But Minimum 3 Sublots (Subsection 450.74)	100% of Sublots (Subsection 450.74)
QC Test Results included in MHD Acceptance Determination:	YES (If Validated)	YES (If Validated)	NO
Pay Adjustment Applied:	YES (Subsection 450.92)	YES (Subsection 450.92)	NO

Note 1: If all HMA Lots fall under Category C then a QC Plan is not required. However, if any Lots on the project fall under Category A or Category B, then any Category C Lots must be addressed in the QC Plan.

**Table 450.10 - Minimum Quality Control Sampling & Testing of HMA Lots**

Quality Characteristic	Test Method(s)	Sublot Size	Minimum Test Frequency	Point of Sampling	Sampling Method
PG Asphalt Binder Grading	AASHTO M320	Per Supplier QC Plan or 24,000 tons (22,000 Mg) of HMA per Subsection 450.65F(1)	See Subsection 450.65F(1)	See Subsection 450.65F(1)	Random AASHTO T40
Aggregate Gradation	AASHTO T27	Per QC Plan	Per QC Plan	At HMA Plant Per QC Plan	Random AASHTO T2
PG Asphalt Binder Content	AASHTO T164 or AASHTO T308	600 tons (550 Mg)	1 per Sublot <sup>(1)</sup>	From Haul Vehicle at Plant	Random AASHTO T168
Combined Aggregate Gradation	AASHTO T30	600 tons (550 Mg)	1 per Sublot <sup>(1)</sup>	From Haul Vehicle at Plant	Random AASHTO T168
Maximum Theo. Specific Gravity	AASHTO T209	600 tons (550 Mg)	1 per Sublot <sup>(1)</sup>	From Haul Vehicle at Plant	Random AASHTO T168
Bulk Specific Gravity	AASHTO T166 (SSD Method)	600 tons (550 Mg)	1 per Sublot <sup>(1)</sup>	From Haul Vehicle at Plant	Random AASHTO T168
Volumetrics: Air Voids, VMA, VFA	AASHTO T245	600 tons (550 Mg)	1 per Sublot <sup>(1)</sup>	From Haul Vehicle at Plant	Random AASHTO T168
In-place HMA Mat Density (Density Gauge)	ASTM D2950 or AASHTO TP68	150 tons (140 Mg)	1 per Sublot <sup>(1)</sup>	From Compacted HMA Course	Selective & Random ASTM D2950, AASHTO TP68
In-place HMA Mat Density (Cores)	AASHTO T230 AASHTO T166 AASHTO T269	600 tons (550 Mg)	1 per Sublot <sup>(1)</sup>	From Compacted HMA Course	Random AASHTO T269
Thickness	AASHTO T269	600 tons (550 Mg)	1 per Sublot <sup>(1)</sup>	From Compacted HMA	Random AASHTO T269
Transverse Joint Density	ASTM D2950 or AASHTO TP68	Each Joint	1 per Sublot <sup>(1)</sup>	At Finished Joint	Random ASTM D2950, AASHTO TP68
Longitudinal Joint Density	ASTM D2950 or AASHTO TP68	500 feet (150 meters) per Joint	1 per Sublot <sup>(1)</sup>	At Finished Joint	Random ASTM D2950, AASHTO TP68
Ride Quality (IRI)	AASHTO PP52 Per Subsection 450.65F(11)	0.1 miles (160 meters) per each Wheel Path	3 Runs per Sublot	Each Pavement Course Per Subsection 450.65F(11)	Random Per Subsection 450.65F(11)
Wheel Path Deviations	10 foot (3 meter) standard straightedge	500 feet (150 meters) per each Wheel Path	1 per Sublot <sup>(1)</sup>	Each Pavement Course Per Subsection 450.65F(12)	Random Per QC Plan

(1) In the event that the total daily HMA production is less than one Sublot, a minimum of one random QC sample shall be obtained for the day's production.

selective QC sampling and testing of Joint Density within each Sublot of compacted HMA pavement courses or bridge protective surface courses shall be as determined necessary by the Field QCT and as specified in the Contractor's approved QC Plan.

**(11) Ride Quality.**

The finished surface of the pavement shall be uniform in appearance, free from irregularities in contour and texture and shall present a smooth riding surface. Ride Quality testing shall be performed for Quality Control on a periodic basis during construction of the HMA pavement courses specified below. QC testing shall be performed for HMA Category A Lots, at a minimum, within 24 hours after each 8 lane-miles (13 lane-kilometers) of an individual pavement course have been placed. QC testing of HMA Category B Lots shall be performed, at a minimum, every other paving day. In addition, the Contractor shall perform QC testing of the entire final pavement course placed upon completion.

**(a) Pavement Courses Subject to Testing.** For projects having a posted speed equal to or greater than 40 mph with HMA Lots falling under Lot Category A (Large Lots) or Category B (Small Lots), QC testing shall be performed with an inertial profiler to determine the Ride Quality of the following pavement courses:

- Friction Course (OGFC)
- Surface Course
- Intermediate Course (lift immediately beneath Surface Course only)
- Leveling Course (when placed immediately beneath Surface Course)

At a minimum, the finished surface of these pavement courses will be tested for all mainline travel lanes, auxiliary lanes, ramps, and side road travel lanes. The Contractor may also elect to perform Ride Quality testing of the pavement courses beneath the courses indicated above in order to provide adequate Quality Control. The following pavement courses and surfaces are specifically excluded from Ride Quality testing:

1. Bridge surface courses (including 15 feet (5 meters) before the approach joint and 15 feet (5 meters) after the departure joint), unless placed with the mainline surface course as part of a continuous paving operation.
2. Mainline pavement courses less than one half mile (800 meters) in total length (excluding bridge lengths).
3. Side road pavement courses less than one Sublot (0.1 mile (160 meters)) in total length.
4. Single resurfacing pavement courses placed in one lift at a total plan (compacted) thickness less than 1.75 inches (45 millimeters).
5. Pavement courses on horizontal curves having a centerline radius of curvature of 500 feet (150 meters) or less, including the length of pavement within the super-elevation transition of such curves.
6. Pavement courses for shoulders.
7. Manholes and catch basins.

**(b) Inertial Profiler Equipment Requirements.** All inertial profilers used for Contractor QC testing shall conform to the equipment specifications contained in AASHTO PP50 and ASTM E950. The inertial profiler shall be equipped with a system of transducers (height sensor, accelerometer, distance sensor) to measure the longitudinal pavement profile. An automated triggering system shall be provided that detects a reference mark to start, stop, and event mark the data collection process. The profiler equipment shall include an onboard computer system capable of storing all profile measurement data, calculating the real time International Roughness Index (IRI) per ASTM E1926 (independent of speed), and displaying profile plots.

**(c) Certification and Correlation of Inertial Profilers.** All inertial profilers used for Contractor QC testing must be certified for precision and accuracy in accordance with the requirements of AASHTO PP51. In addition, all Contractor QC profilers must be correlated against the Department's reference profiling device in accordance with the Department's correlation procedures. The certification and correlation of all profilers shall be conducted at the Profiler Correlation Center in New Bedford, MA established by the University of Massachusetts at Dartmouth. The certification and initial correlation of the Contractor's inertial profiler shall be completed prior to the start of Ride Quality testing on the project. After the initial correlation is successfully completed, the same inertial profiler can be used on any Department project without re-correlation for the remainder of the construction season. Equipment that does not pass the Department's correlation procedure shall not be used. The Contractor's use of inertial profiler equipment that has not been successfully correlated is sufficient grounds for withholding payment for QC testing of Ride Quality. The Contractor's inertial profiler equipment may be required to undergo re-correlation at any time during the construction season if significant variations are found within the Contractor's QC test data or between the QC test data and the Department's Acceptance test data.

**(d) Ride Quality Testing Procedures.** Ride Quality testing shall be performed in accordance with the procedures outlined in AASHTO PP52, as clarified or amended herein.

The Ride Quality will be measured for each wheel path [a wheel path is defined as 3 feet (1 meter) from and parallel to each longitudinal edge of the lane to be measured]. Each wheel path will be divided into 0.1 mile (160 meters) Sublots starting at the project limits in the direction of traffic. Partial Sublots may result at either end of the project or as a result of interruptions of the continuous pavement surface (i.e. bridge approaches, railroad crossing, cessation of daily paving operations, etc.).

Just prior to testing, the Contractor shall sweep the pavement and remove all foreign objects or materials on the pavement course surface. Testing will begin 15 feet (5 meters) after the transverse approach joint and end 15 feet (5 meters) before the transverse departure joint. A minimum of three and up to a maximum of five test runs will be performed on each wheel path. The final test result for each Sublot will be the average of the three best test runs.

**(e) Data Format and Reporting Requirements.** All Ride Quality QC testing data shall be collected and saved in electronic format in an ASCII data file. A copy of the raw data file shall be provided to the Engineer on site immediately following testing of completed Sublots. A longitudinal profile shall be determined for all Sublots tested and an average IRI value shall be determined and reported for each Sublot (i.e. each 0.1 mile (160 meters) segment of each wheel path). The Contractor shall summarize the results for all Sublots, by corresponding Ride Quality Lot, in an electronic spreadsheet file (MS Excel) consistent with the format of the Department's QA Spreadsheets. The summary spreadsheet of QC testing data shall be submitted to the Department, electronically and in hardcopy, within two days after the testing is completed.

**(f) Ride Quality Monitoring & Corrective Action.** The Contractor shall evaluate and monitor the test data for each pavement course requiring Ride Quality testing for conformance with the applicable Quality Limits specified in Table 450.19. If the running Quality Level for all Sublots placed and tested falls below the Suspension Quality Level (70 PWL), the Contractor shall suspend further placement of the corresponding pavement course and evaluate the Sublots placed for appropriate corrective action. If the running Mean IRI of all Sublots placed and tested for the pavement course immediately below the final course is greater than the Action Limits specified in Table 450.12, corrective action will be required prior to placement of the final pavement course.

When Ride Quality correction is required, the Contractor shall use one or more of the following corrective methods:

1. Removal and replacement of the entire pavement course.
2. Partial depth removal of the pavement course by milling and placement of new pavement course(s) of the same mixture type.
3. Overlaying (not patching) with the specified pavement course.
4. Diamond grinding or use of other surface profiling devices.

The corrective method(s) chosen by the Contractor shall be subject to the approval of the Department and shall be performed at the Contractor's expense. The Contractor shall retest any Sublots where corrections are made and provide the Department with a copy of the raw data file, the profile plot, and the IRI summary spreadsheet data for the corrected Sublots.

**Table 450.12 - Action Limits for Pavement Course Below Final Pavement Course**

Posted Speed Limit <sup>(1)</sup>	Target IRI	Maximum Mean IRI of All Sublots Tested
Greater than or equal to 55 mph (90 km/hr)	75 in/mile (1.18 m/km)	≤ 100 in/mile (1.58 m/km)
40 mph (65 km/hr) to 55 mph (90 km/hr)	105 in/mile (1.66 m/km)	≤ 125 in/mile (1.97 m/km)
Less than 40 mph (65km/hr)	Not subject to Ride Quality testing	N/A

(1) Note that projects with posted speed limits that fall into more than one of the Posted Speed Limit ranges above will be divided into multiple Lots and evaluated separately.

**(12) Wheel Path Deviations.**

Each wheel path [a wheel path is defined as 3 feet (1 meter) from and parallel to each longitudinal edge of a travel lane] of each HMA Lot produced and placed shall be tested for Wheel Path Deviations (high points or low points). Testing shall be performed using a 10-foot (3 meter) standard straightedge in the longitudinal direction on each wheel path. The requirement for Wheel Path Deviation testing applies to all pavement courses (including bridge protective courses and bridge surface courses). At a minimum, the finished surface of each required pavement course will be tested for all mainline travel lanes, auxiliary lanes, ramps, and side road travel lanes. The Sublot size and minimum frequency of QC testing for Wheel Path Deviations shall be as specified in Table 450.10. Each random sampling and testing location shall be established by determining a randomly selected distance along the wheel path in accordance with ASTM D3665. Additional selective QC sampling and testing for Wheel Path Deviations within each Sublot of compacted HMA pavement courses shall be as determined necessary by the Field QCT and as specified in the Contractor's approved QC Plan.

The variation from the edge of the 10-foot (3 meter) straightedge to the top of the wheel path surface between any two wheel path contact points shall not exceed 0.25 inches (6 mm). The Contractor shall correct any location in a pavement course wheel path not meeting this requirement. The corrective method(s) proposed by the Contractor shall be subject to the approval of the Department and shall be performed at the Contractor's expense. The Contractor shall retest any Sublots where corrections are made and provide the Department with a copy of the testing data for the corrected Sublots.



**450.66 HMA Mix Design Verification and Control Strip Requirements.**

For all pavement courses with HMA Lots falling under Lot Category A (Large Lots), the HMA mix design Verification and Control Strip procedures outlined below shall apply.

**A. Laboratory Verification of HMA Mix Design.**

The Contractor shall develop a HMA Laboratory Trial Mix Formula (LTMF) for each pavement course mixture type, which is proposed as the Job Mix Formula, utilizing Marshall volumetric mix design criteria (75-blow hammer compaction) in accordance with Subsection 450.42. The LTMF must be submitted to the Engineer, a minimum of 15 working days prior to the start of HMA production, with supporting documentation and samples of constituent materials in adequate amount to verify the LTMF selected for production (proposed JMF). If the Engineer is unable to verify the Contractor's LTMF, then the Engineer will work with the Contractor to resolve the verification issue(s). The Contractor shall not proceed to HMA production for the Control Strip as outlined below until the LTMF is verified by the Department.

**B. HMA Control Strip.**

The Contractor shall produce and place a Control Strip Lot for all HMA pavement courses, with the exception of Leveling Courses, on the first day of HMA production. The Control Strip will be used to verify that the HMA can be produced per the LTMF, to establish compaction patterns, and to verify that the equipment and processes for lay-down and compaction are capable of providing the HMA pavement course in conformance with quality requirements outlined below. The Control Strip Lot shall consist of a minimum of 600 tons (550 Mg) of HMA, but not more than one day's production. The total Control Strip quantity shall be divided into three (3) equal Sublots. If the Control Strip quantity is equal to or greater than 1800 tons (1650 Mg), each full Sublot shall be 600 tons (550 Mg). The Contractor and the Department will both perform inspection, sampling, and testing on the Control Strip and evaluate the corresponding data as outlined below.

**(1) Control Strip Inspection.**

The Contractor's QC personnel shall perform inspection of each Control Strip Sublot at both the HMA production facility and at the site of HMA field placement. The specific items to be inspected for the Control Strip shall include the four primary inspection components (Equipment, Materials, Environmental Conditions, Workmanship) in accordance with the requirements of Table 450.8 and as specified in the Contractor's approved QC Plan. The Department will also inspect each Control Strip Sublot for the inspection components of Materials and Workmanship.

**(2) Control Strip Sampling and Testing.**

The Contractor and the Department shall independently sample and test the Control Strip Lot for the Quality Characteristics identified in Table 450.13. The Contractor shall sample and test each 600 ton (550 Mg) Sublot produced and placed. The Department will sample and test only three 600 ton (550 Mg) Sublots, randomly selected from the Control Strip Lot, when more than three Sublots are placed. Each Contractor QC sample and each Agency Acceptance sample shall be randomly obtained from each Sublot in accordance with ASTM D3665 and the prescribed sampling protocols for each Quality Characteristic as outlined in Subsection 450.65F. Split samples shall be retained for each Sublot by both the Contractor and the Department in accordance with Subsection 450.65D.

**(3) Evaluation of Control Strip Inspection Data.**

The Contractor and the Department shall each evaluate their respective Control Strip inspection data against the requirements for Materials and Workmanship specified in Subsection 450.53 thru Subsection 450.58.

**(4) Evaluation of Control Strip Sampling and Testing Data.**

The Contractor and the Department shall each evaluate their respective individual Sublot test results against the Control Strip Verification Limits in Table 450.13. The Contractor and the Department shall also evaluate the Control Strip Lot Quality Level (PWL) using the Specification Limits in Table 450.19 for those Quality Characteristics subject to Quality Level Analysis. The Contractor's QC test data shall be combined with the Agency's Acceptance test data to determine the Lot Quality Level, provided that the QC data is Validated against the Acceptance data in accordance with Subsection 450.77. The Control Strip Lot Quality Level must be 90 PWL or greater.

**Table 450.13 - Control Strip Verification Limits**

Quality Characteristic	Control Strip Target	Limits on Individual Sublot Test Value	
		Lower Limit	Upper Limit
PG Asphalt Binder Grading	AASHTO M320	AASHTO M320	AASHTO M320
PG Asphalt Binder Content ( $P_b$ )	Per LTMF	Target - 0.4 %	Target + 0.4 %
Combined Gradation: Passing 4.75mm and Larger Sieves	Per LTMF	Target - 6 %	Target + 6 %
Combined Gradation: Passing 2.36mm Sieve	Per LTMF	Target - 5 %	Target + 5 %
Combined Gradation: Passing 1.18mm to 300 $\mu$ m Sieve	Per LTMF	Target - 3 %	Target + 3 %
Combined Gradation: Passing 150 $\mu$ m Sieve	Per LTMF	Target - 2 %	Target + 2 %
Combined Gradation: Passing 75 $\mu$ m Sieve	Per LTMF	Target - 1 %	Target + 1 %
Volumetrics: Air Voids ( $V_a$ )	4 %	Target - 1.4 %	Target + 1.4 %
In-Place HMA Mat Density	95 % of $G_{mm}$	92.5 % of $G_{mm}$	97.5 % of $G_{mm}$
Thickness*: (All Courses 1 inch (25mm) or greater)	Per Plans	-20 % of Target Thickness	+20 % of Target Thickness
Ride Quality*: Greater than or equal to 55 mph (90 km/hr)	75 in/mile (1.18 m/km)	N/A	100 in/mile (1.58 m/km)
Ride Quality*: 40mph (65 km/hr) to 55 mph (90 km/hr)	100 in/mile (1.66 m/km)	N/A	125 in/mile (1.97 m/km)
Wheel Path Deviations	0 inches	Target - 0.25 in. (6 mm)	Target + 0.25 in. (6 mm)

\*To be evaluated for applicable pavement courses subject to testing per Subsection 450.65F.

Contractor assistance may be requested in obtaining Acceptance samples for PG Asphalt Binder Grading and for In-Place Density and Thickness (HMA cores). The Contractor shall provide adequate traffic control for the Department to obtain cores, regardless of whether the Contractor assists the Engineer in obtaining the Acceptance core samples.

#### **D. Acceptance Sample Identification System.**

The Department will use a standard system for the identification of all Acceptance samples. All PG Asphalt Binder samples, HMA loose mixture samples, and core samples will be labeled by the Engineer with the minimum information indicated under Subsection 450.65C. Acceptance sampling data for Ride Quality and Wheel Path Deviations will be identified by the Engineer in accordance with the Department's Standard Operating Procedures (SOPs).

#### **E. Retention of Split Samples.**

Qualified Department personnel will obtain all material samples (PGAB samples, HMA loose mix samples, and cores) for Acceptance testing. The Department will retain split samples from each PGAB sample and HMA loose mix sample and provide a split sample to the Contractor if requested. The Department will retain the original core samples after testing to serve as "split samples" and protect them from damage. All split samples will be stored for a period of (30) days, or until tested. These split samples will be utilized if necessary, in the Dispute Resolution process. If mutually agreed upon by the Department and the Contractor, the retained split samples may be discarded prior to the required thirty (30) days.

#### **F. Acceptance Testing of HMA Lots.**

The Department will perform Acceptance testing using the random samples obtained in accordance with Subsection 450.74A from the HMA production facility and at the site of HMA field placement. The specific Quality Characteristics subject to Department Acceptance testing are identified in Table 450.17. All Acceptance testing of HMA Lots will be performed by the Engineer in accordance with the AASHTO, ASTM, NETTCP, or Department test methods specified in Table 450.17 and the procedures outlined below. The Engineer will furnish a copy of all Department Acceptance test results/data to the Contractor within five (5) days following completion of testing.

##### **(1) PG Asphalt Binder Grading.**

The Department will review the Supplier's Certificate of Compliance (COC) and corresponding certified AASHTO M320 test results submitted by the Contractor for each Supplier Lot of PGAB from which the HMA Producer's PGAB was obtained. The Engineer will also obtain and test a minimum of one random Acceptance sample of PGAB for each 12,000 ton (11,000 Mg) HMA Sublot, as defined in Table 450.17, to determine conformance with AASHTO M320. A minimum of two 1-quart (1-Liter) containers of PGAB will be obtained for each Acceptance sample from the HMA Producer's tanks in accordance with AASHTO T40. All PGAB Acceptance samples will be split prior to testing and the un-tested portion of the sample will be retained for a minimum of 30 days.

##### **(2) PG Asphalt Binder Content.**

The Engineer will test each HMA Lot produced and placed for PG Asphalt Binder Content in accordance with either AASHTO T164 or T308. When AASHTO T164 is used, the test results will be reported prior to ash correction. The Sublot size and minimum frequency of Acceptance testing for PG Asphalt Binder Content will be as specified in Table 450.17. Each material sample for PG Asphalt Binder Content will be obtained at the HMA plant from a randomly selected quadrant from the haul vehicle in accordance with ASTM D3665 and AASHTO T168.

**Table 450.17 - Department Acceptance Sampling and Testing of HMA Lots**

Quality Characteristic	Test Method(s)	Sublot Size	Minimum Test Frequency	Point of Sampling	Sampling Method
PG Asphalt Binder Grading	AASHTO M320	12,000 tons (11,000 Mg) of HMA using same PG Grade	1 per Sublot	From Tank Valve at HMA Plant	Random AASHTO T40
PG Asphalt Binder Content	AASHTO T164 or AASHTO T308	600 tons (550 Mg)	1 per Sublot sampled per Subsection 450.74A <sup>(1)</sup>	From Haul Vehicle at HMA Plant	Random AASHTO T168
Volumetrics: Air Voids	AASHTO T245	600 tons (550 Mg)	1 per Sublot sampled per Subsection 450.74A <sup>(1)</sup>	From Haul Vehicle at HMA Plant	Random AASHTO T168
In-place HMA Mat Density (Cores)	AASHTO T269 AASHTO T230 AASHTO T209 AASHTO T166	600 tons (550 Mg)	1 per Sublot sampled per Subsection 450.74A <sup>(1)</sup>	From Compacted HMA Course	Random AASHTO T269
In-place HMA Mat Density (Bridge Courses)	ASTM D2950 or AASHTO TP68	150 tons (140 Mg)	1 per Sublot sampled per Subsection 450.74A	From Compacted HMA Course	Random ASTM D2950 or AASHTO TP68
Thickness	AASHTO T269	600 tons (550 Mg)	1 per Sublot sampled per Subsection 450.74A <sup>(1)</sup>	From Compacted HMA Course	Random AASHTO T269
Ride Quality (IRI)	AASHTO PP52 per Subsection 450.65F(11)	0.1 miles (160 meters) per each Wheel Path	1 Per Sublot	Each Pavement Course per Subsection 450.65F(11)	Random per Subsection 450.65F(11)
Wheel Path Deviations	10 foot (3 meter) standard straightedge	0.1 miles (160 meters) per each Wheel Path	1 per Sublot sampled per Subsection 450.74A <sup>(1)</sup>	Each Pavement Course per Subsection 450.65F(12)	Random per Subsection 450.65F(12)

(1) In the event that the total daily HMA production is less than one Sublot but greater than 150 tons (140 Mg), a minimum of one random Acceptance sample shall be obtained for the day's production.

**(3) Volumetrics (Air Voids).**

The Engineer will test each HMA Lot produced and placed for Volumetrics (Air Voids) in accordance with AASHTO T245. The requirement for Volumetric testing of laboratory compacted specimens applies to HMA mixtures for all pavement courses, with the exception of Open Graded Friction Courses and Base Courses. The Sublot size and minimum frequency of Acceptance testing for Volumetrics will be as specified in Table 450.17. Each material sample for Volumetrics will be obtained at the HMA plant from a randomly selected quadrant from the haul vehicle in accordance with ASTM D3665 and AASHTO T168.

**(4) In-Place HMA Mat Density.**

The Engineer will test each HMA Lot produced and placed for In-place HMA Mat Density. The requirement for In-Place Density testing applies to all pavement courses, with the exception of Open Graded Friction Courses and Leveling Courses, as outlined below.

**(a) Testing In-Place Density by Cores.** Acceptance testing of HMA pavement courses (other than bridge courses) for In-place Density will be performed using cores in accordance with the procedures outlined in Subsection 450.65F(8)(b). The Sublot size and minimum frequency of Acceptance testing for In-place Density of HMA pavement courses by core will be as specified in Table 450.17.

**(b) Testing In-Place Density by Density Gauge.** Acceptance testing of all HMA Bridge Protective Courses and Bridge Surface Courses for In-place Density will be performed using a density gauge in accordance with the procedures outlined in Subsection 450.65F(8)(a). The Sublot size and minimum frequency of Acceptance testing for In-place Density of HMA bridge courses by density gauge will be as specified in Table 450.17.

**(5) Thickness.**

Each HMA pavement course specified to be placed at a compacted thickness of 1 inch (25mm) or greater, with the exception of the HMA pavement courses identified in Subsection 450.65F(9), will be tested by the Engineer for Thickness using cores. Acceptance sampling and testing for Thickness of the applicable pavement courses shall be in accordance with AASHTO T269. The Sublot size and minimum frequency of Acceptance testing for Thickness will be as specified in Table 450.17.

**(6) Ride Quality.**

Department Acceptance testing for Ride Quality will be required for all projects having a posted speed equal to or greater than 40 mph (65 km/hr) with HMA Lots falling under Lot Category A or Category B. The Engineer will perform Ride Quality testing on the final HMA pavement course placed (either Surface Course or OGFC, when specified) for all mainline travel lanes, auxiliary lanes, ramps, and side road travel lanes using an inertial profiler in accordance with the procedures outlined in Subsection 450.65F(11). Pavement courses and surfaces that are specifically excluded from Acceptance testing for Ride Quality are as specified in Subsection 450.65F(11)(a). The Sublot size and minimum frequency of Acceptance testing for Ride Quality will be as specified in Table 450.17.

The inertial profiler equipment used to perform Acceptance testing will be certified and correlated by the Department in accordance with the requirements and procedures outlined in Subsection 450.65F(11). The Department Acceptance data and Contractor QC data will be correlated and normalized using statistical procedures. The normalization of data will be based on the measurement difference/bias from the Department Reference Profiling Device determined during the device correlation conducted at the Profiling Center by UMass Dartmouth. The Department will provide software and procedures to perform the data normalization. The normalized Acceptance Ride Quality data and QC Ride Quality data will be used to determine the quality level (PWL) and corresponding pay for each Lot.

**(7) Wheel Path Deviations.**

Each HMA Lot produced and placed will be tested by the Engineer for Wheel Path Deviations (high points or low points) using a 10 foot (3 meter) standard straightedge in accordance with the procedures outlined in Subsection 450.65F(12). Acceptance testing for Wheel Path Deviations applies to all pavement courses (including bridge protective courses and bridge surface courses). The finished surface of each required pavement course will be tested for all mainline travel lanes, auxiliary lanes, ramps, and side road travel lanes. The Sublot size and minimum frequency of Acceptance testing for Wheel Path Deviations will be as specified in Table 450.17.

**450.75 Split Sample Correlation.**

Split Sample Correlation is an important part of the Department acceptance system for HMA Category A Lots and Category B Lots. Split Sample Correlation shall be performed when Validated Contractor QC test data is to be included in the acceptance determination. The purpose of Split Sample Correlation testing is to identify and eliminate any discrepancies in testing procedures or equipment that could result in significant differences between the Contractor's QC testing results and the Engineer's Acceptance testing results.

Either prior to or on the first day of production and placement of any HMA Category A Lot or Category B Lot, the Contractor and the Department will conduct Split Sample Correlation. The Engineer or the Contractor may also request that Split Sample Correlation be performed at any time during HMA Lot production and placement. Department IA personnel may also test a split of the Correlation samples.

Split Sample Correlation will be performed on split material samples for those Quality Characteristics identified in Table 450.18. Correlation samples for HMA mixture testing shall be either laboratory prepared specimens or plant produced HMA specimens. Samples for HMA Category A Lots may be obtained from the Control Strip Lot. Correlation testing of the Contractor's QC ride quality testing equipment and the Department's Acceptance ride quality testing equipment will be performed in accordance with Subsection 450.65F(11)(c).

**Table 450.18 Split Sample Correlation Allowable Differences**

Quality Characteristic	Allowable Difference Between Contractor and Department Split Samples
Maximum Theoretical Specific Gravity (Gmm)	+/- 0.020
Bulk Specific Gravity (Gmb)	+/- 0.030
PG Asphalt Binder Content	+/- 0.4%
Volumetrics - Air Voids	+/- 1.4%
In-Place HMA Mat Density	+/- 1.4%
Thickness	+/- 10 %
Ride Quality (IRI)	Per Subsection 450.65F(11)(c)

If the Contractor's Split Sample Correlation results differ from the Department's results by more than the allowable differences specified in Table 450.18, then the Contractor and the Department shall determine and resolve the reasons for the differences prior to the start or continuation of HMA Lot production and placement.

**(4) Final Lot Acceptance Determination.**

After each HMA Category A Lot is complete, including any corrective action, the Engineer will perform a final evaluation of all Department Acceptance data and Validated Contractor QC data for the Lot. The Department will accept the subject Lot if the Engineer's evaluation of all testing data for the Lot is in conformance with the applicable Quality Limits as outlined in paragraph (2) and paragraph (3) above.

**Table 450.19 - Quality Limits for Acceptance of HMA Lots**

Quality Characteristic	Target	Specification Limits		Engineering Limits		Acceptance Limit
		LSL	USL	LEL	UEL	
PG Asphalt Binder Grading	Per Binder Grade specified	N/A	N/A	Per AASHTO M320	Per AASHTO M320	N/A
PG Asphalt Binder Content	Per JMF	Target - 0.3 %	Target + 0.3 %	Target - 0.4 %	Target + 0.4 %	60 PWL
Volumetrics: Air Voids	4 %	2.7 %	5.3 %	2 %	6 %	60 PWL
In-Place HMA Mat Density (Cores)	95 % of $G_{mm}$	92.5 % of $G_{mm}$	97.5 % of $G_{mm}$	92 % of $G_{mm}$	98 % of $G_{mm}$	60 PWL
In-Place HMA Mat Density (Bridge Courses)	95 % of $G_{mm}$	N/A	N/A	90 % of $G_{mm}$	N/A	N/A
Thickness: (All Courses 1 inch (25mm) or greater)	Per Plans	-20 % of Target Thickness	+20 % of Target Thickness	-30 % of Target Thickness	+30 % of Target Thickness	60 PWL
Ride Quality: Greater than or equal to 55 mph (90 km/hr)	65 in/mile (1.18 m/km)	N/A	90 in/mile (1.50 m/km)	N/A	100 in/mile (1.82 m/km)	60 PWL
Ride Quality: 40mph (65 km/hr) to 55 mph (90 km/hr)	80 in/mile (1.66 m/km)	N/A	115 in/mile (2.05 m/km)	N/A	135 in/mile (2.37 m/km)	60 PWL
Ride Quality: Less than 40 mph (65 km/hr)	Not subject to ride testing					
Wheel Path Deviations	0 inches	N/A	N/A	Target - 0.25 in. (6 mm)	Target + 0.25 in. (6 mm)	N/A

**D. Hot Mix Asphalt.**

Each Hot Mix Asphalt pavement course will be paid for at the contract unit price per ton (Megagram) of in-place mixture under the HMA Pay Items specified (Pay Items 450.10 through 450.70). Payment shall include sweeping the underlying surface, transportation, delivery, placement (including providing a MTV when required), and compaction of each HMA pavement course in accordance with Subsection 450.54 through Subsection 450.58.

All sawcutting required for transverse joints or longitudinal joints in accordance with Subsection 450.57 shall also be included in the contract unit price for each HMA pavement course.

**E. Contractor Quality Control.**

The Contractor's Quality Control system will be paid for at the contract unit price per ton (Megagram) under Pay Item 450.90. Payment will be full compensation for all QC activities required under Subsection 450.50 through Subsection 450.69 including; the Construction Quality Meeting, providing the field reference system, preparing and maintaining the approved Quality Control Plan, preparing all HMA mixture designs, performing QC sampling, testing and inspection (including the Control Strip when required), evaluating all QC data, and maintaining proper QC records. No separate payment will be made for any assistance provided by the Contractor to the Engineer in obtaining Department Acceptance samples. Failure of the Contractor to perform adequate Quality Control in accordance with the specifications and the Contractor's approved QC Plan will be justification for withholding payment.

**450.92 Pay Adjustment (PA).**

Payment for each HMA Category A Lot and Category B Lot will be determined based on the final Lot Quality Level (PWL) computed in accordance with the QLA procedures contained in Subsection 450.78. Pay adjustments will be determined for each of the Acceptance Quality Characteristics identified in Table 450.22. The relative pay adjustment weight assigned to each of the HMA Quality Characteristics is indicated in Table 450.22.

**Table 450.22 - Pay Adjustment Weight Assigned to HMA Quality Characteristics**

HMA Quality Characteristics	Pay Adjustment Weight
PG Asphalt Binder Content	10 percent
Volumetrics - Air Voids	25 percent
In-Place HMA Mat Density	25 percent
Thickness	10 percent
Ride Quality (IRI)	30 percent

**A. Lot Pay Factor.**

A Pay Factor (PF) will be determined for each HMA Lot using the Quality Level (PWL) computed for the Lot and the equation below:

$$PayFactor(PF) = \frac{55 + 0.5(QualityLevel)}{100}$$

The Lot Pay Factor will be used to determine the pay adjustment for each Quality Characteristic as further outlined below.



### E. Pay Adjustment for Thickness.

Pay adjustment for Thickness shall be applied to Pay Item 999.493 at the completion of the HMA Lot. The total Lot pay adjustment for Thickness will be determined as follows:

$$PA_{Thickness} = \sum (PF_i - 1) (Q_i)(P_i) (0.10)$$

Where:

- PA<sub>Thickness</sub> = Pay adjustment in dollars for Thickness.
- PF<sub>i</sub> = Pay factor based on Quality Level (PWL) of Thickness for individual Lot (i).
- Q<sub>i</sub> = Quantity represented by individual Lot (i) in tons (Mg).
- P<sub>i</sub> = Contract unit price per ton (Mg) for individual Lot (i).
- 0.10 = Weight given to Thickness pay adjustment.

### B. Pay Adjustment for Ride Quality.

Pay adjustment for Ride Quality shall be applied to Pay Item 999.494 at the completion of all HMA Lots. Although Ride Quality Acceptance testing will be performed only on the final pavement course, the pay adjustment will be applied to the total quantity of all HMA pavement courses placed. Since each wheel path of the final pavement course represents a Lot for Ride Quality, the quantity for each Lot shall be computed by dividing the total quantity of all pavement courses placed by the number of wheel paths for all lanes tested in the final pavement course. The total Lot pay adjustment for Ride Quality will be determined as follows:

$$PA_{Ride\ Quality} = \sum (PF_i - 1) (\sum (Q_{pc})(P_{pc}))/N_{wp} (0.30)$$

Where:

- PA<sub>Ride Quality</sub> = Pay adjustment in dollars for Ride Quality.
- PF<sub>i</sub> = Pay factor based on Quality Level (PWL) of Ride Quality for individual Lot (i).
- Q<sub>pc</sub> = Quantity represented by individual pavement course (pc) in tons (Mg).
- P<sub>pc</sub> = Contract unit price per ton (Mg) for individual pavement course (pc).
- N<sub>wp</sub> = Total number of wheel paths for all lanes tested.
- 0.30 = Weight given to Ride Quality pay adjustment.